CO-FUNDING MECHANISMS OF RUSSIAN-EUROPEAN S&T COOPERATION

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EU FUNDING SOURCES

EU's Framework Programme for Research and Innovation "Horizon 2020"

- ✓ managed by the European Commission (EC)
- ✓ budget of nearly € 80 billion for seven years (2014-2020)
- ✓ coupling research to innovation
- ✓ selection of projects based on regular open calls for proposals and international peer review
- ✓ majority of activities are of a multilateral, international character, each involving different actors from academia and industry
- ✓ open for participation by Russian entities, in partnership with European counterparts

EU FUNDING SOURCES (2)

EU's Framework Programme for Research and Innovation Horizon 2020

Excellent Science

European Research Council

Future and Emerging Technologies

Marie Sklodowska-Curie actions

Research infrastructures

Industrial Leadership

Leadership in enabling and industrial technologies

(ICT, nano, materials, biotech, manufacturing, space)

Access to risk finance

Innovation in SMEs

Societal Challenges

Health

Food

Energy

Transport

Climate

Inclusive societies

Security

Russian funding sources

Development and production

Applied research

Fundamental research

Ministry of Industry and Trade of the Russian Federation and other Ministries, RUSNANO, RVC

Business

Ministry of
Education and
Science of the
Russian
Federation

Innovative steps

Business

RNF, RFBR, RHF, RAS

Business

Participants of the cooperation

RUSSIAN FUNDING SOURCES

- ✓ Ministry of Education & Science (MON)
- ✓ Ministry of Industry & Trade aeronautics research
- ✓ Roscosmos Space research
- ✓ Rosatom nuclear energy research
- ✓specialised agencies actions within their remits, e.g.
 - o Foundation for Assistance to Small Innovative Enterprises (FASIE),
 - o Russian Foundation for Basic Research (RFBR),
 - Russian Foundation for the Humanities (RFH),
 - Russian Science Foundation (RSF),
 - Skolkovo Foundation (for commercialization),
 - o special university funds,
 - o [...]

RUSSIAN FUNDING SOURCES (2)

Federal Targeted Programme "R&D in Priority Areas of Development of the Russian S&T Complex 2014-2020" (FTP R&D)

- ✓ managed by the MON
- ✓ budget line 2.2 "Support for research in the context of cooperation with EU countries"
 - o 6.18 billion Roubles (ca. € 125 million) for collaboration with the EU and EU Member States for the period 2014 2020
 - o up to a maximum of 50 million Roubles (ca. € 1 million) per project, for a period of up to 4 years

FUNDING SUPPORT FROM THE RUSSIAN MON

- ✓ FTP R&D calls under budget line 2.2 and other relevant budget lines
- ✓ open collaboration in Horizon 2020 in selected priority areas
- ✓ coordinated EU-Russia calls

FUNDING SUPPORT FROM THE RUSSIAN MON: PROCEDURE FOR COOPERATION IN HORIZON 2020

- ✓ open collaboration in Horizon 2020 in selected priority areas
- ✓ coordinated EU-Russia calls

Co-funding mechanisms: Procedures

N.B. All Horizon 2020 calls are open to Russian participants.

The following slides describe the procedures whereby funding for participation in Horizon 2020 projects may be provided by Russian national funding bodies in those cases where the Russian participant of a Horizon 2020 project proposal requires and requests such funding support.

FUNDING SUPPORT FROM THE RUSSIAN MON: PROCEDURE FOR COOPERATION IN HORIZON 2020 (2)

Step 1

 Among all of the announced topics in the Horizon 2020 programme, the MON selects the ones prioritized for the Russian Federation (i.e. those for which financial support to the Russian participants can in principle be provided)

Step 2

• For these selected topics, the EU posts information (e.g. on the H2020 Participant Portal) about the possibility for Russian research organisations to apply for funding in Russia (as a part of joint EU-Russia consortia)

Step 3

 While the EU coordinator submits a proposal to the EC under the Horizon 2020 call, the Russian partner(s) of the consortia submit(s) a corresponding proposal to the Russian funding body (e.g. the MON)

FUNDING SUPPORT FROM THE RUSSIAN MON: PROCEDURE FOR COOPERATION IN HORIZON 2020 (3)

Step 4.1

• The European Commission carries out its standard evaluation procedure of all Horizon 2020 project proposals, including those with Russian participants.

Step 4.2

 The Russian funding party carries out its standard evaluation procedure of Russian proposals which are part of a submitted Horizon 2020 project proposal and which have been submitted to the given Russian funding body.

Step 5

• After the EC evaluation under Horizon 2020 and the national evaluation in Russia, both sides exchange information about the evaluation results, including the list of proposals (with Russian participation) which may be funded.

FUNDING SUPPORT FROM THE RUSSIAN MON: PROCEDURE FOR COOPERATION IN HORIZON 2020 (4)

Step 6

 Where the EU and Russian national evaluations overlap, the Horizon 2020 project and its Russian part will be funded by, respectively, the European Commission and the Russian funding body according to their funding rules.

Step 7

• The Russian participant of a H2020 project will sign the EU Grant Agreement as well as a separate agreement with the Russian funding body. Russian participants without EU funding are exempt from financial reporting to the EU (Article 9 of the EU Grant Agreement), but will have to submit a regular financial & scientific report to the Russian funding body.

Step 8

• Where the EU and Russian national evaluation evaluations do not overlap, alternative arrangements will have to be found by the project partners.

MON is ready to support the selected projects already in 2015

FUNDING SUPPORT FROM THE RUSSIAN MON: PROCEDURE FOR COOPERATION IN HORIZON 2020 (5)

- ✓ In the case of two-stage evaluation procedure of the Horizon 2020 calls, the applications to the Russian finding body will be made at the second stage.
- ✓ Special arrangements can be made for a joint EU and Russia evaluation for Coordinated Calls, Joint Calls or Parallel Projects. If coordinated projects are to be implemented by two separate agreements (i.e. Russian participants do not sign the EU Grant Agreement), the Russian participants must submit their proposal to the MON together with a draft Coordination Agreement.
- ✓ EC and Russia will also support research cooperation through the participation of Russian funding bodies in the ERANET mechanisms within Horizon 2020.
- ✓ EU proposal evaluations are executed by international experts. Russian experts are encouraged to register in the expert pool.

FUNDING SUPPORT FROM THE RUSSIAN MON: PROCEDURE FOR COOPERATION IN HORIZON 2020 (6)

Project proposals that are eligible for support by the MON:

- ✓ Any legal entity registered in the Russian federation can apply for funding.
- ✓ Duration of the projects can be from 2 to 4 years, it is agreed before the call announcement, is fixed in the call documentation and cannot be changed.
- ✓ Requested funding from the MON per year has to be 50% or less than the whole funding of the project. The funding for each project per year is fixed in the submitted application and cannot be changed.

Please refer your questions to: horizon2020@mon.gov.ru

THANK YOU FOR YOUR ATTENTION!